

South Suburban Sanitary District Trading Authorization Request Form

Each year the Director of Administrator Services reviews a list of acceptable brokers/dealers who wish to provide security trading services to South Suburban Sanitary District. From that list, the Director selects those to be utilized for the coming fiscal year. The brokers/dealers are chosen at the discretion of the Director, who also has authority to remove firms from the list at any time.

To become qualified for selection, each broker/dealer must be authorized to conduct business in the State of Oregon and supply his/her CRD number to South Suburban Sanitary District.

Furthermore, each broker/dealer must certify that they have read South Suburban Sanitary District's investment policies, and agree to offer for sale only those investments authorized therein. South Suburban Sanitary District's Investment Policies are available on our web-site at www.sssd.org or by e-mailing cindyo@sssd.org.

If you would like qualified for fiscal year 2013, please complete the section below and send to South Suburban Sanitary District, attn: Cindy Oden, 2201 Laverne Avenue, Klamath Falls, Oregon 97603, 541-882-5744; fax to 541-882-5013; or email cindyo@sssd.org.

Sincerely,

Tindy Oden

Cindy Oden
Director of Administrative Services

To be completed by broker/dealer:

I desire to provide security trading services to South Suburban Sanitary District. I have read South Suburban Sanitary District's investment policies and agree to offer for sale only investments authorized therein.

Name of Broker/Dealer and CRD Number
Name and position of Authorized Representative (printed)